



## **Information guide**

### **Category A grants: Programme supported dam removals**



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The European Open Rivers Programme was created with funding from [Arcadia](#), a charitable fund of Lisbet Rausing and Peter Baldwin.

## About this information guide

We appreciate the time and effort that is required to prepare projects and apply for funding. Therefore, we aim to be as clear as possible about what we support, how to apply, and how your application will be assessed. Please read these guidelines carefully before applying.

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# 1. Category A grants: programme supported dam removals

## 1.1 Introduction

These grants will support a range of interventions that lead to the removal of small dams in catchments that offer the highest potential for river restoration and ecosystem recovery.

Category A is divided into four subcategories. Please ensure that you apply for the grant most applicable to your project.

### Category A1 Catchment development

Supporting the identification and strategic selection of small dams that could later be removed via a grant from the programme. If it does not exist already, an important output of the grant should be an inventory of barriers (including location, height, width, photos, field verification). These grants may also support outreach to the local community to explore removal potential. Catchment development projects must demonstrate a direct connection between the activities included in the grant and the future removal of priority barriers.

Catchment development grants are intended for areas that offer very high potential for the restoration of river flow and biodiversity (ecologically valuable areas) but where there is currently low capacity, resources, and attention to dam removal.

### Category A2 Preparatory work

Supporting the development of essential work required to prepare for the removal of a small dam, for example, feasibility studies, preparation of technical design documents, and securing permissions and permits.

### Category A3 Dam removal

Demolition of a barrier. No preparatory work required / preparatory work already funded by a third party.

### Category A4 Dam removal

Demolition of a barrier. Preparatory work previously funded by the programme.

## 1.2 Application stages and timing

The programme runs a 9-month grant cycle for category A1, A2 and A3 grants.

The process is as follows:

- Call for a short Expression of Interest (EoI).
- If your EoI is shortlisted, you will be invited to submit a more detailed Proposal.
- If your Proposal is accepted, you will be offered a grant.

The time between the call for EoI and grant award is approximately nine months.

For category A4 grants, the programme may run a 4-month cycle.

The process is as follows:

- Call for Proposal.
- If your Proposal is accepted, you will be offered a grant.

Please review our [Grant Calendar](#) for upcoming important application dates.

## 1.3 What is not supported:

- Post dam removal restoration, unless it is expected that without mitigating restoration activities, the dam removal would result in negative impacts e.g. changed hydrological regime that could cause flooding elsewhere, the release of invasive species, etc.
- Partial removal of a dam. The full vertical extent of the barrier must be removed to be eligible for funding. The programme is only interested in the complete removal of a barrier/the full removal of the 'barrier effect'.
- Barrier purchase.

## 2. Eligibility criteria

### 2.1 Who can apply?

We recognise that projects often involve a diverse range of partners and contributors including NGOs, government, academia, the private sector, community groups and individuals. We welcome and encourage applications from diverse consortiums and collaborations. However, we have specific criteria for who can be a coordinating partner.

A coordinating partner is the applicant to the programme, and they will take a lead in managing the project. They will receive and manage the grant finances, sign a grant contract with the programme, and take responsibility for the effective delivery of the project. They will usually be based in the country of implementation. Non-resident coordinating organisations will need to be able to justify that their role is essential e.g. there is no suitable national coordinating organisation in the implementing country.

The following organisations may apply to the programme for Category A:

- A charitable organisation.
- An organisation with not-for-profit status.

We expect most applicants will be organisations with an environmental focus and a track record of restoring rivers / ecological work. We recognise that public and private organisations and community groups may wish to apply and we encourage their participation in projects as partners but will not accept unsolicited applications from these groups. These groups may contact the programme to explore options if they are not able to identify a suitable NGO coordinator for a project.

#### Important note for coordinating partners who are awarded a grant

If you are successful in securing a grant at the end of the application process, you will receive a Grant Award Letter (GAL) from us which is our contract with you. The contract will require the activities outlined in your proposal to be delivered within the timeframe and budget set. The GAL will be signed by both the programme and the coordinating partner. There are several important points to note about implementing a grant from the programme.

- We require all materials resulting from the grant to be made publicly available for free via the internet. This includes text, images, audio, and video.
- You should hold public liability insurance that covers the period of implementation. These costs can be budgeted in your application if they are needed.

### For dam removal (A3 or A4) only

We will require you to confirm that there are no known issues that might jeopardise the river from remaining free-flowing once the dam(s) have been removed.

Projects must be implemented in Greater Europe and coordinating partners must be registered in Greater Europe.

**Countries of Greater Europe:** Overseas territories are not included.

|                      |                                 |                               |
|----------------------|---------------------------------|-------------------------------|
| Albania              | Germany                         | Netherlands                   |
| Andorra              | Greece                          | Norway                        |
| Armenia              | Hungary                         | Poland                        |
| Austria              | Iceland                         | Portugal                      |
| Azerbaijan           | Ireland                         | Romania                       |
| Belarus              | Italy                           | Russia (as far east as Urals) |
| Belgium              | Kazakhstan (West of River Ural) | San Marino                    |
| Bosnia & Herzegovina | Kosovo                          | Serbia                        |
| Bulgaria             | Latvia                          | Slovakia                      |
| Croatia              | Liechtenstein                   | Slovenia                      |
| Cyprus               | Macedonia                       | Spain                         |
| Czechia              | Moldova                         | Sweden                        |
| Denmark              | Monaco                          | Switzerland                   |
| Estonia              | Montenegro                      | Turkey                        |
| Finland              | Lithuania                       | United Kingdom                |
| France               | Luxemburg                       | Ukraine                       |
| Georgia              | Malta                           | Vatican City                  |

## 2.2 Basic criteria that need to be met

### Projects focus on the removal of small dams.

Our rationale is that the focus on small barrier removals is the most cost-effective method of restoring rivers. In general, they are easier to remove and are lower risk than higher structures. When assessing your application, we will compare your submission against all other submissions and shortlist those projects that maximise the value for money i.e. the amount of ecological restoration that can be achieved against the amount spent by the programme. Please note that barriers higher than five metres will be considered and will need to demonstrate exceptional ecological gain against the total cost of the project.

**The barrier(s) will be removed to the full vertical extent.**

We require that all small dam removal projects remove the full vertical extent of the dam (i.e. the height of the dam). Fishway projects including nature-like bypass structures and rock ramps, and project that retain a sill will not be eligible. For a barrier removal to qualify as successful, it must have been removed through its full vertical extent allowing natural, hydraulic connectivity, hydrologic conditions, sediment transport, and fish migration.

**The project can be completed within one year.**

We aim to support projects that can be completed within 12 months. We will accept applications for projects that take longer to deliver but the assessment process will be stricter and there will need to be clear justifications expressed.

If you wish to discuss your case with us before applying, please contact us: [applications@openrivers.eu](mailto:applications@openrivers.eu)

**Local stakeholders and public administrations are broadly supportive of the proposed removal(s).**

We require that all small dam removal projects have support from local stakeholders and public administrations.

### **2.3 Eligible costs and expected timeframes**

- Applicants will need to submit a budget and schedule of activities spreadsheet which explains activities and costs in detail. The budget and schedule of activities should be final and cannot be changed after submitting your proposal. A [template example](#) is provided which you can adapt for your application.
- All costs should be in Euros and the programme will transfer funds in Euros.

## 3. Application Assessment

Please review our [top tips](#) for a successful application. These tips will make the difference in deciding whether to move forward with an application.

### 3.1 What we are looking for

The fundamental aim of the programme is to remove small dams from rivers and to make rivers free-flowing again. The desired outcome is ecological restoration – to restore the diversity and abundance of life in rivers and their surrounding ecosystems. Category A projects will be scored on their potential to achieve the following:

#### Maximise the potential for ecological gain

This is the number one goal of the programme, and our approach will be to take every possible step to select projects that achieve this. This means that we are more likely to select projects in areas of low fragmentation where it will be cost-effective to achieve ecological restoration. We encourage projects that demonstrate the following criteria:

- Potential to open up large areas of previously fragmented river – kilometres gained upstream and downstream of the next barrier (natural or man-made).
- Potential to restore rare, threatened, or endangered habitats or species i.e. rivers of significant ecological importance.
- Restore ecological and hydromorphological processes.
- Restore connectivity between habitats (importance of considering longitudinal, lateral, and vertical connectivity).
- Help to ensure that rivers are more resilient to short- and long-term changes (such as climate fluctuations).
- Consider whole river basins and justify the relevance of each proposed dam removal(s) in opening up a catchment.
- Extent to which the removal contributes to a wider restoration plan at the catchment level and Good Ecological Status.
- Demonstration that River Basin Management Plans have been consulted and have informed the dam removal approach.
- How the project will contribute to the EU Biodiversity Strategy for 2030? EC guidance on the Biodiversity Strategy river restoration targets was published late in 2021.
- Provide other benefits to riparian ecology.

The programme is more likely to support multiple dam removals that open up large stretches of river and impact whole river basins than isolated single removals. The value/rationale behind each proposed removal within a catchment should be articulated in applications.

Single dam removals should demonstrate that they will have a significant ecological impact/open up a large area of river, there is no justification to remove other dams in the catchment, or that the removal of other barriers in the catchment will be addressed in subsequent phases of work.

For help on how to select barriers within a catchment, see the following [report](#), written for the Open Rivers Programme.

### Demonstrate a clear pathway to dam removal

(A1 and A2 applications)

Preparatory projects should demonstrate that their successful completion will result in the dam(s) being ready for removal, i.e. we are unlikely to support preparatory projects if there is uncertainty that the dam(s) can be removed. Stand-out projects will make explicitly clear how the project activities will lead to dam removal.

### Achievable in short timeframes and relatively low risk

The programme is unlikely to support controversial or risky projects. Most dam removals will likely be obsolete or abandoned structures.

The programme aims to support projects that can be implemented within a year, and which have the support of local administrations and communities. Ideally, consents and authorisations will have been secured or will be able to be secured within a year. Projects that take longer than one year could be considered if they are exceptional in terms of their potential for ecological restoration. Applicants should demonstrate that they have consulted with river basin managers in the design of the project.

### Stands out as unique and important

- Exceptional projects that have wider catalysing impacts.
- Helps to embed dam removal and dam prevention into policies, planning, research, implementation guidelines, best practices, monitoring, and evaluation.
- Encourages others to fund dam removal.
- Encourages others to remove dams.
- Demonstrates a new or innovative technique.
- Has a strong positive economic and/or social impact.

### Demonstrate value for money

We wish to remain flexible and support the most compelling projects that demonstrate the best potential to meet the programme's aims.

Therefore, we do not publicise minimum and maximum funding amounts, but we expect applicants to demonstrate value for money e.g. projects that have a low cost but a high ecological benefit, or where cofunding is secured.

### Demonstrate the need for funding

The programme will prioritise support for dam removals and preparatory work when it is shown that it is not the responsibility of other entities/local governments to support the proposed work. For areas where a significant amount of existing data and information exists, such as countries included in the Water Framework Directive, please describe how existing information will be utilized, avoid duplicating effort, and justify that preparatory work activity are essential to the successful future removal of barriers within your priority catchment.

## 3.2 How applications will be assessed

Every EoI and Proposal will be reviewed by the programme's independent Grant Selection Panel (GSP), which is composed of experienced professionals with technical expertise in various fields including, but not limited to, dam removal specialists, engineers, geomorphologists, ecologists, legal experts, advocacy, and communications specialists. The assessment process is as follows:

### 1. Eligibility checks

All projects will be checked to ensure they meet the eligibility criteria. This will include checks on coordinating partners to confirm their legitimacy and their ability to coordinate the proposed project.

### 2. Scoring by GSP members

Scores are assigned to applicant answers according to the strength of the answer against the criteria presented in the application form and the overall goals of the programme. All answers must be clear, specific and concise. Scores from all reviewers are added together and a final average score is obtained. The main scoring areas include:

- Ecological potential/length of river made free-flowing.
- Cost-benefit – the cost against the expected ecological outcomes.
- Viability – the ease of the project being completed/degree of risk.
- Best practices – unique or showcase examples, the 'wow factor'.
- Likelihood that the preparatory work will lead to dam removal that can later be supported by the programme (A1 and A2 applications).

### 3. Final assessment

The highest scoring projects that fit within our budget will be shortlisted. Several additional factors are considered including:

- Supporting a balance of projects across Europe to ensure that at least 50% of funds are directed to less economically developed regions and not limited to countries that are more advanced in preparing projects for funding. Gross Domestic Product figures are used to assist this analysis.
- Several broad environmental indicators such as the National Biodiversity Index, and the area of land within a protected area.

## 4. Application procedure

### a) Logon to our application portal

All applications should be made online via our [application portal](#).

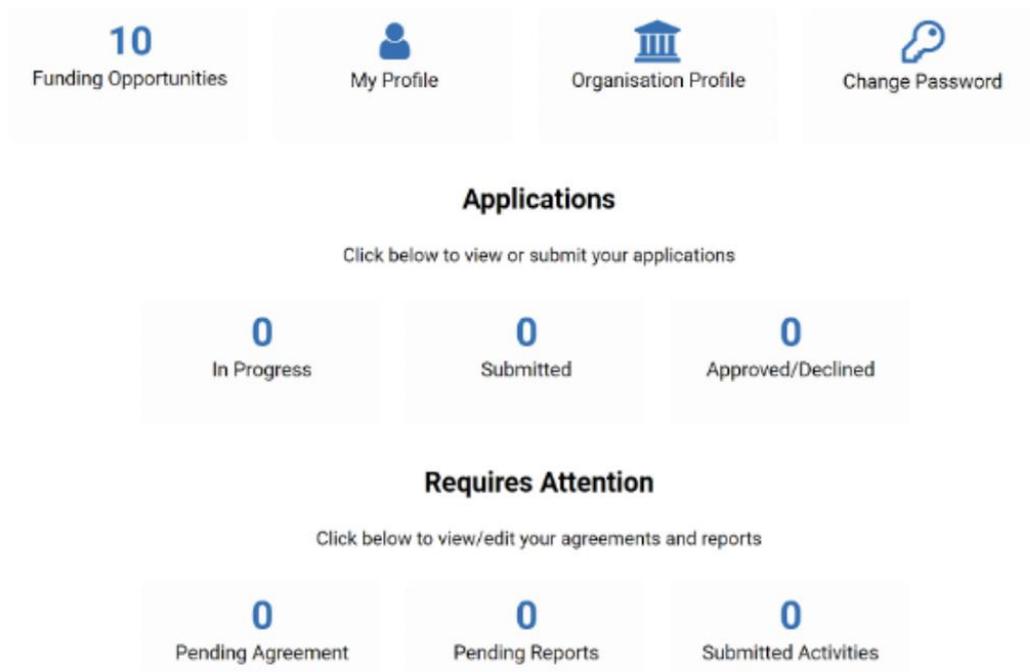
#### New users

Click on the 'register here' button and complete all the required fields. You will then receive an email with a link to create a password.

#### Existing users

Log in with the email and the password that you selected when you signed up.

Your application portal starts with a homepage like the example below:



From this page, you can click on any of the blue text as follows:

#### Funding Opportunities

Apply for a grant and see all of the grant types that are currently inviting applications.

#### My Profile / Organisation profile

Access and edit your own profile or that of your organisation.

## Change Password

### In progress / submitted / approved / declined

Contains applications that are currently being drafted, have been submitted, and have been approved/declined by the programme.

### Requires attention

This section applies to grantees and highlights when an action needs to be taken to sign a contract and submit a progress report. It includes a record of previously submitted activities.

## **b) Click on 'Funding Opportunities' to apply and then 'Apply Now' under grant category A.**

### Please note:

- Every question will explain what is required and provide useful information to guide your answer.
- You cannot apply for multiple grants in different categories (e.g. A, B and C) in one application. To apply for multiple grants in different categories you must use separate applications.
- You can save incomplete applications and return later. The GMS will allow for a draft application to be saved and you can review it at a later date before submitting it.
- You will not be able to submit your application until all sections are completed and mandatory uploads are created.
- **Review your application before submitting it.** Consider asking someone external to review your application. Does it make sense? Can they remember the key points? Please remember that the proposal will form part of your final contract with the Open Rivers Programme. You should ensure that all information, activities, and budgets are accurate and final.

## **c) Submit your application**

- Press the submit button at the bottom of the application before the advertised deadline. The GMS will not allow you to submit once the deadline has passed!
- After you have submitted your application, you will receive an automated response from the programme management team acknowledging receipt of your application.
- Once your application has been submitted for review, you will not be able to make any adjustments.

#### **d) Download a pdf of your application**

If you would like to download a version of your application locally to your computer, follow these steps:

1. Create a login for the Grant Management System or log in using your existing credentials.
2. Click on the grant category you would like to apply for to start the application.
3. Once in the application, you may start filling in answers to the questions or click save draft right away. This will save the current draft of your application and allow you to finish it at your convenience.
4. Once you have saved a draft, a blue button that says "EoI Summary" or "Application Summary" appears at the top of the application. If you click this, it will download a pdf of the saved version of your application so you may view the questions offline and share between project partners.
5. **Please remember to complete your application via the application portal** as this is the only way that you can apply to the programme.

If you have any queries, please contact us: [applications@openrivers.eu](mailto:applications@openrivers.eu)

Thank you for reading this guide and we look forward to receiving your application.