

## **Information guide**

### **Category B grants: Enabling others to remove dams**



Programme-supported removal of the Tama Dam, Spain © Red Cambera

The European Open Rivers Programme was created with funding from [Arcadia](#). Arcadia is a charitable foundation that works to protect nature, preserve cultural heritage and promote open access to knowledge.

## About this information guide

We appreciate the time and effort that is required to prepare projects and apply for funding. Therefore, we aim to be as clear as possible about what we support, how to apply, and how your application will be assessed.

Please read these guidelines carefully before applying.

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# 1. Category B grant: enabling others to remove dams

## 1.1 Introduction

This grant will support pre-demolition work when funding for the dam removal (demolition) can be sourced from an alternative funder.

**Category B Pre-demolition work** Supporting the development of essential work required to prepare for the removal of a dam, for example, feasibility studies, preparation of technical design documents, and securing permissions and permits. Completing the work will result in the dam being removed by a third party.

The only difference from Category A2 grants is that the programme does not support demolition as another source already funds it (or will fund it). Our early research indicated that it was sometimes possible to secure funds for demolition but not for pre-demolition work. This was holding back the ability of some river managers to remove a dam.

## 1.2 Application stages and timing

The programme runs a 9-month grant cycle for category B grants.

The process is as follows:

- Call for a short Expression of Interest (EoI).
- If your EoI is shortlisted, you will be invited to submit a more detailed Proposal.
- If your Proposal is accepted, you will be offered a grant.

The time between for the call for EoI and grant award is approximately 9 months.

Occasionally, the programme may run additional calls for applications for specific categories or countries. Full details will always be available on our website. Please review our [Grant Calendar](#) for upcoming important application dates.

## 1.3 What is not supported

- Expensive projects that have low ecological significance. We aim to support projects that open up at least 10km of river and a minimum of 5km. This means that we are unlikely to support projects in highly fragmented areas.
- We are less likely to support projects in rivers with poor ecological status as the ecological gain following removal is likely to be low, or take a long time to be realised.
- Post dam removal restoration, unless it is expected that without mitigating restoration activities, the dam removal would result in negative impacts e.g. changed hydrological regime that could cause flooding elsewhere, the release of invasive species, etc.
- Partial removal of a dam. The full vertical extent of the barrier must be removed to be eligible for funding. The programme is only interested in the complete removal of a barrier/the full removal of the 'barrier effect' so that rivers can flow naturally again.

## 2. Eligibility criteria

### 2.1 Who can apply?

We recognise that pre-demolition works projects can involve a diverse range of project partners and contributors spanning NGOs, government, academia, and private sectors, as well as community groups and individuals. We welcome and encourage applications from diverse consortiums and collaborations. However, we have specific criteria for who can be a coordinating partner.

A coordinating partner is the applicant to the programme, and they will take the lead in managing the project.

They will receive and manage the grant finances, sign a grant contract with the programme, and take responsibility for the effective delivery of the project. They will usually be based in the country of implementation. Non-resident coordinating organisations must justify their role as essential, e.g. there is no suitable national coordinating organisation in the implementing country.

The following organisations may apply to the programme for Category B:

- A charitable organisation.
- An organisation with not-for-profit status.
- Public sector entity

We expect most applicants will be organisations with an environmental focus and a track record of restoring rivers / ecological work. We recognise that some community groups and public and private organisations may wish to apply. We encourage their participation in projects as partners but will not accept unsolicited applications from these groups. These groups may contact the programme to explore options if they cannot identify a suitable NGO coordinator for a project.

**Note for public sector entities wishing to apply:** The eligibility criteria for application by a public entity is more restrictive than that for NGO applicants. The programme considers a public sector entity as a department, agency, authority, commission, or other entity established under a legal Act for public purpose/good. To apply for a programme grant as a public entity, you must manage water resources with proven experience related to restoring rivers and/or dam removal.

Public entities must demonstrate that the staff managing the grant have the relevant river restoration and dam removal experience to implement the project. Agency experience alone is not enough. Applicants must demonstrate a commitment to a partnership involving NGOs and stakeholders in implementing a selected project.

Applicants must also describe the availability of public funding for river restoration and dam removal in the implementing country and demonstrate the

need for resources provided by the open rivers programme.

### Important note for coordinating partners who are awarded a grant

If you are successful in securing a grant, you will receive a Grant Award Letter (GAL) from us which is our contract with you. The contract will require the activities outlined in your proposal to be delivered within the timeframe and budget set. The GAL will be signed by both the programme and the coordinating partner. There are several important points to note about implementing a grant from the programme.

- We require all materials resulting from the grant to be made publicly available for free via the internet. This includes text, images, audio, and video.
- You should hold public liability insurance that covers the period of implementation. These costs can be budgeted in your application if needed.

Projects must be implemented in Greater Europe and coordinating partners must be registered in Greater Europe.

**Countries of Greater Europe:** Overseas territories are not included.

|                      |                                 |                               |
|----------------------|---------------------------------|-------------------------------|
| Albania              | Germany                         | Netherlands                   |
| Andorra              | Greece                          | Norway                        |
| Armenia              | Hungary                         | Poland                        |
| Austria              | Iceland                         | Portugal                      |
| Azerbaijan           | Ireland                         | Romania                       |
| Belarus              | Italy                           | Russia (as far east as Urals) |
| Belgium              | Kazakhstan (West of River Ural) | San Marino                    |
| Bosnia & Herzegovina | Kosovo                          | Serbia                        |
| Bulgaria             | Latvia                          | Slovakia                      |
| Croatia              | Liechtenstein                   | Slovenia                      |
| Cyprus               | Macedonia                       | Spain                         |
| Czechia              | Moldova                         | Sweden                        |
| Denmark              | Monaco                          | Switzerland                   |
| Estonia              | Montenegro                      | Turkey                        |
| Finland              | Lithuania                       | United Kingdom                |
| France               | Luxembourg                      | Ukraine                       |
| Georgia              | Malta                           | Vatican City                  |

## 2.2 Basic criteria that need to be met

### Projects focus on the removal of small dams.

Our rationale is that the focus on small barrier removals is the most cost-effective method of restoring rivers. In general, they are easier to remove and are lower risk than higher structures. Whilst we will usually select barrier

removal projects of around 1 – 3 metres in height, higher barriers will be considered. When assessing your application, we will compare your submission against all other submissions and shortlist those projects that maximise the value for money i.e. the amount of ecological restoration that can be achieved against the amount spent by the programme. Please note that barriers higher than five metres will occasionally be considered but will need to demonstrate exceptional ecological gain against the total cost of the project.

**The barrier(s) will be removed to the full vertical extent.**

We require that all small dam removal projects remove the full vertical extent of the dam (i.e. the height). Fishway projects including nature-like bypass structures and rock ramps, and project that retain a sill will not be eligible. For a barrier removal to qualify as successful, it must have been removed through its full vertical extent allowing natural, hydraulic connectivity, hydrologic conditions, sediment transport, and fish migration.

**The project can be completed within one year.**

We aim to support projects that can be completed within 12 months. We will accept applications for projects that take longer to deliver but the assessment process will be stricter and there will need to be clear justifications expressed.

**Local stakeholders and public administrations are broadly supportive of the proposed removal(s).**

We require that all small dam removal projects have support from local stakeholders and public administrations.

If you wish to discuss your case with us before applying, please contact us: [applications@openrivers.eu](mailto:applications@openrivers.eu)

## **2.3 Eligible costs and expected timeframes**

- Applicants will need to submit a budget and schedule of activities spreadsheet which explains activities and costs in detail. The budget and schedule of activities should be final and cannot be changed by the applicant after submitting your proposal. A [template example](#) is provided which you can adapt for your application.
- All costs should be relevant and necessary to complete the project.
- All costs should be in Euros and the programme will transfer funds in Euros.

### 3. Application Assessment

Please review our [top tips](#) for a successful application. These tips will make the difference in deciding whether to proceed with an application.

#### 3.1 What we are looking for

The fundamental aim of the programme is to remove small dams from rivers to make them free-flowing again. The desired outcome is ecological restoration – restoring the diversity and abundance of life in rivers and their surrounding ecosystems. Category B projects will be scored on their potential to achieve the following:

**Maximise the potential for ecological gain**

**This is the number one goal of the programme**, and our approach will be to take every possible step to select projects that achieve this. This means that we are more likely to select projects in areas of low fragmentation where it will be cost-effective to achieve ecological restoration. We encourage projects that demonstrate the following criteria:

- Potential to open up large areas of previously fragmented river – kilometres gained upstream and downstream of the next barrier (natural or man-made).
- Potential to restore rare, threatened, or endangered habitats or species i.e. rivers of significant ecological importance.
- Restore ecological and hydromorphological processes.
- Restore connectivity between habitats (importance of considering longitudinal, lateral, and vertical connectivity).
- Help ensure rivers are more resilient to short- and long-term changes (such as climate fluctuations).
- Consider whole river basins and justify the relevance of each proposed dam removal(s) in opening up a catchment.
- Extent to which the removal contributes to a wider restoration plan at the catchment level and Good Ecological Status.
- Demonstration that River Basin Management Plans have been consulted and have informed the dam removal approach.
- How will the project contribute to the EU Biodiversity Strategy for 2030? EC guidance on the Biodiversity Strategy river restoration targets was published late in 2021.
- Provide other benefits to riparian ecology.

The programme is more likely to support multiple dam removals that open up large stretches of river and impact whole river basins than isolated single removals. The value/rationale behind each proposed removal within a catchment should be articulated in applications. Single dam removals should demonstrate that they will have a significant ecological impact / open up a large area of river, there is no justification to remove other dams in the catchment, or that the removal of other barriers in the catchment will be addressed in

subsequent phases of work.

For help selecting barriers within a catchment, see the following [report](#), written for the Open Rivers Programme.

Pre-demolition projects should demonstrate that their successful completion will result in the dam(s) being ready for removal, i.e. we are unlikely to support pre-demolition projects if there is uncertainty that the dam(s) can be removed.

Stand-out projects will clarify how the project activities will lead to dam removal.

### Achievable in short timeframes and relatively low risk

The programme is unlikely to support controversial or risky projects. Most dam removals will likely be obsolete or abandoned structures.

The programme aims to support projects that can be implemented within a year, and which have the support of local administrations and communities. Ideally, consents and authorisations will have been secured or will be able to be secured within a year. Projects that take longer than one year could be considered if they are exceptional in terms of their potential for ecological restoration. Applicants should demonstrate that they have consulted with river basin managers in the design of the project.

### Stands out as unique and important

- Exceptional projects that have wider catalysing impacts.
- Helps to embed dam removal and dam prevention into policies, planning, research, implementation guidelines, best practices, monitoring, and evaluation.
- Encourages others to fund dam removal.
- Encourages others to remove dams.
- Demonstrates a new or innovative technique.
- Has a strong positive economic and/or social impact.

### Demonstrate value for money

We wish to remain flexible and support the most compelling projects that demonstrate the best potential to meet the programme's aims. Therefore, we do not publicise minimum and maximum funding amounts, but we expect applicants to demonstrate value for money e.g. projects that have a low cost but a high ecological benefit, or where cofunding is secured.

### Demonstrate the need for funding

The programme will prioritise support for pre-demolition work when it is shown that it is not the responsibility of other entities/local governments to support the proposed work. For areas where a significant amount of existing data and information exists, such as countries included in the Water Framework Directive, please describe how existing information will be utilised, avoid duplicating effort, and justify that pre-demolition work activities are essential to the successful future removal of barriers within your priority catchment.



## 3.2 How applications will be assessed

Every EoI and Proposal will be reviewed by the programme's independent Grant Selection Panel (GSP), which is composed of experienced professionals with technical expertise in various fields including, but not limited to, dam removal specialists, engineers, geomorphologists, ecologists, legal experts, advocacy, and communications specialists. The assessment process is as follows:

### 1. Eligibility checks

All projects will be checked to ensure they meet the eligibility criteria. This will include checks on coordinating partners to confirm their legitimacy and their ability to coordinate the proposed project.

### 2. Scoring by GSP members

Scores are assigned to applicant answers according to the strength of the answer against the criteria presented in the application form and the overall goals of the programme. All answers must be clear, specific and concise. Scores from all reviewers are added together and a final average score is obtained. The main scoring areas include:

- Ecological potential / length of river made free-flowing.
- Cost-benefit – the cost against the expected ecological outcomes.
- Viability – the ease of the project being completed / degree of risk.
- Best practices - unique or showcase examples, the 'wow factor'.

### 3. Final assessment

The highest scoring projects that fit within our budget will be shortlisted. Several additional factors are considered including:

- Supporting a balance of projects across Europe to ensure that at least 50% of funds are directed to less economically developed regions and not limited to countries that are more advanced in preparing projects for funding. Gross Domestic Product figures are used to assist this analysis.
- Several broad environmental indicators such as the National Biodiversity Index, and the area of land within a protected area.

## 4. Application procedure

### a) Logon to our application portal

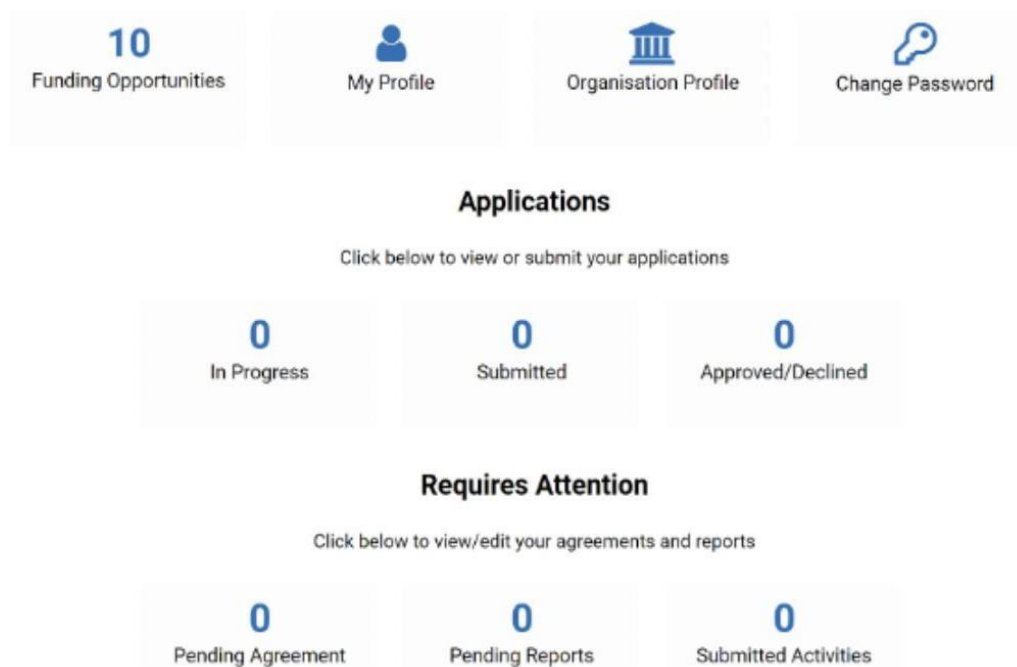
All applications should be made online via our [application portal](#).

#### New users

Click on the 'register here' button and complete all the required fields. You will then receive an email with a link to create a password.

#### Existing users

Log in with the email and the password that you selected when you signed up. Your application portal starts with a homepage like the example below:



From this page, you can click on any of the blue text as follows:

#### Funding Opportunities

Apply for a grant and see all of the grant types that are currently inviting applications.

#### My Profile / Organisation profile

Access and edit your own profile or that of your organisation

## Change Password

## In progress / submitted / approved / declined

Contains applications that are currently being drafted, have been submitted, and have been approved/declined by the programme.

## Requires attention

This section applies to grantees and highlights when an action needs to be taken to sign a contract and submit a progress report. It includes a record of previously submitted activities.

**b) Click on 'Funding Opportunities'** to apply and then '**Apply Now**' under grant **category B**.

### Please note:

- Every question will explain what is required and provide useful information to guide your answer.
- You cannot apply for multiple grants in different categories (e.g. A, B and C) in one application. To apply for multiple grants in different categories you must use separate applications.
- You can save incomplete applications and return later. The GMS will allow for a draft application to be saved and you can review it at a later date before submitting it.
- You will not be able to submit your application until all sections are completed and mandatory uploads are created.
- **Review your application before submitting it.** Consider asking someone external to review your application. Does it make sense? Can they remember the key points? Please remember that the proposal will form part of your final contract with the Open Rivers Programme. You should ensure that all information, activities, and budgets are accurate and final.

**c) Submit your application**

- Press the submit button at the bottom of the application before the advertised deadline. The GMS will not allow you to submit once the deadline has passed!
- After you have submitted your application, you will receive an automated response from the programme management team acknowledging receipt of your application.
- Once your application has been submitted for review, you will not be able to make any adjustments.

#### **d) Download a pdf of your application**

If you would like to download a version of your application locally to your computer, follow these steps:

1. Create a login for the Grant Management System or log in using your existing credentials.
2. Click on the grant category you would like to apply for to start the application.
3. Once in the application, you may start filling in answers to the questions or click save draft right away. This will save the current draft of your application and allow you to finish it at your convenience.
4. Once you have saved a draft, a blue button that says "EoI Summary" or "Application Summary" appears at the top of the application. If you click this, it will download a pdf of the saved version of your application so you may view the questions offline and share between project partners.
5. **Please remember to complete your application via the application portal** as this is the only way that you can apply to the programme.

If you have any queries, please contact us: [applications@openrivers.eu](mailto:applications@openrivers.eu)

Thank you for reading this guide and we look forward to receiving your application.

